

Số: ~~504~~ 2./2022/CBTT

Hà Nội, ngày 14 tháng 07 năm 2022

**CÔNG VĂN ĐỊNH CHÍNH LẠI THÔNG TIN BÁO CÁO TÀI CHÍNH HỢP
NHẤT QUÝ 1.2022 BẢN TIẾNG ANH**

Công ty Cổ phần Chứng khoán VNDIRECT thông báo điều chỉnh lại thông tin báo cáo tài chính hợp nhất bản tiếng Anh Quý 1.2022 đã phát hành ngày 28.4.2022 (do lỗi đánh máy) như sau:


Thông tin cần điều chỉnh: Board of Directors

Tại trang số: 1

Thông tin sai (Trước điều chỉnh)	Thông tin đúng (Sau điều chỉnh)
Mr Vu Ngoc Long	Mr Nguyen Vu Long

Ngoài thông tin thay đổi bên trên, các nội dung khác của báo cáo tài chính hợp nhất Quý 1.2022 bản tiếng Anh không có gì thay đổi so với bản đã phát hành ngày 28.4.2022

Chúng tôi đính kèm công văn là báo cáo hợp nhất bản tiếng Anh đã điều chỉnh lại thông tin chính xác.

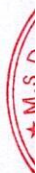

Công ty CP Chứng khoán VNDIRECT


QUYỀN TỔNG GIÁM ĐỐC
Nguyễn Vũ Long

VNDIRECT Securities Corporation

Consolidated financial statements

For the three-month period ended 31 March 2022



VNDIRECT Securities Corporation

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VNDIRECT Securities Corporation

GENERAL INFORMATION

THE COMPANY

VNDIRECT Securities Corporation ("the Company") is a joint stock company established under the Corporate Law of Vietnam according to Business Registration No. 0103014521 issued by Hanoi Department of Planning and Investment dated 7 November 2006, Business Registration No. 0102065366 amended for the sixth time on 15 September 2021, Securities Trading License No. 22/UBCK-GPHĐKD issued by the State Securities Commission dated 16 November 2006 and Amended License for Establishment and Operation of Securities Company (The latest Amended License No. 63/GPĐC-UBCK dated 5 August 2021).

The current principal activities of the Company are to provide brokerage service, securities trading, underwriting for securities issues, custodian service, finance and investment advisory service, and margin lending service.

The Company's charter capital as at 31 March 2022 was VND 7,828,994,210,000 (as at 31 December 2021: VND 4,349,446,870,000).

The Company's Head Office is located at 1 Nguyen Thuong Hien street, Hai Ba Trung District, Hanoi, Vietnam. As at 31 March 2022, the Company has branches located in Ho Chi Minh City, Da Nang, Vinh – Nghe An, Can Tho, Binh Duong, Quang Ninh, Nam Dinh and transaction offices located in Ho Chi Minh City and Hanoi.

As at 31 March 2022, the Company had only one (01) directly owned subsidiary.

BOARD OF DIRECTORS

Members of the Board of Directors during the period and at the date of the interim consolidated financial statements are as follows:

<i>Name</i>	<i>Title</i>	<i>Appointment</i>
Ms. Pham Minh Huong	Chairman	Appointed on 11 November 2006
Mr. Vu Hien	Member	Appointed on 26 March 2009
Mr. Nguyen Vu Long	Member	Appointed on 25 April 2022
Mr. Vu Viet Anh	Independent member	Appointed on 25 April 2022
Mr. Mai Huu Dat	Independent member	Appointed on 25 April 2022
Mr. Do Ngoc Quynh	Deputy Chairman	Resigned on 25 April 2022
Mr. Pham Le Nhat Quang	Independent member	Resigned on 25 April 2022
Mr. Pekka Mikael Nastamo	Independent member	Resigned on 25 April 2022

BOARD OF SUPERVISION

Members of the Board of Supervision during the period and at the date of this report are as follows:

<i>Name</i>	<i>Title</i>	<i>Appointment</i>
Ms. Hoang Thuy Nga	Head of the Board of Supervision	Appointed on 21 April 2013
Ms. Nguyen Thi Minh Hanh	Member	Appointed on 23 April 2015
Ms. Huynh Thanh Binh Minh	Member	Appointed on 28 on April 2012

VNDIRECT Securities Corporation

GENERAL INFORMATION (continued)

MANAGEMENT

Members of the Management during the period and at the date of this report are as follows:

<i>Name</i>	<i>Title</i>	<i>Appointment</i>
Mr. Nguyen Vu Long	Acting General Director	Appointed on 22 November 2021
Ms. Vu Nam Huong	Chief Financial Officer	Appointed on 19 July 2017
Mr. Nguyen Duy Khoa	Deputy General Director	Appointed on 2 August 2021
Ms. Tran Thi Hong Nhung	Chief Accountant	Appointed on 15 September 2021

LEGAL REPRESENTATIVE

The legal representative of the Company during the period and at the date of this report was Ms. Pham Minh Huong - Chairman of the Board of Directors and Ms. Vu Nam Huong - Chief Financial Officer.

Mr. Nguyen Vu Long is authorized to sign this report according to Decision No. 726/2021/QĐ-HĐQT 22 November 2021 of the Board of Directors on authorizing Acting General Director of the Company.

CONSOLIDATED STATEMENT OF FINANCIAL POSITION
as at 31 March 2022

Currency: VND

Code	ITEMS	31 March 2022	31 December 2021
100	A. CURRENT ASSETS	42,534,101,772,898	36,627,504,409,064
110	I. Financial assets	42,417,761,786,335	36,524,155,665,328
111	1. Cash and cash equivalents	996,910,414,160	2,701,561,356,636
111.1	1.1. Cash	981,795,871,810	2,094,329,942,266
111.2	1.2. Cash equivalents	15,114,542,350	607,231,414,370
112	2. Financial assets at fair value through profit and loss (FVTPL)	15,713,370,969,136	12,616,026,565,345
113	3. Held-to-maturity (HTM) investments	8,113,100,000,000	5,312,000,000,000
114	4. Loans	17,122,538,079,851	15,473,544,807,182
116	5. Provision for impairment of financial assets and mortgaged assets	(159,864,684,936)	(145,344,993,816)
117	6. Receivables	416,215,800,829	395,065,318,368
117.1	7.1. Receivables from disposal of financial assets	52,904,894,000	91,278,191,000
117.2	7.2. Receivables and accruals from dividend and interest income	363,310,906,829	303,787,127,368
117.4	7.2.1. Accruals for undue dividend and interest income	363,310,906,829	303,787,127,368
118	8. Advances to suppliers	24,674,495,031	14,596,208,764
122	9. Other receivables	196,433,383,693	162,323,074,278
129	10. Provision for impairment of receivables	(5,616,671,429)	(5,616,671,429)
130	II. Other current assets	116,339,986,563	103,348,743,736
131	1. Advances	3,338,844,522	1,869,783,194
133	2. Short-term prepaid expenses	24,559,451,538	9,595,972,182
134	3. Short-term deposits, collaterals and pledges	83,541,798,713	84,091,517,160
135	4. Deductible VAT	-	10,408,700
136	5. Taxes and others receivables to the State	355,700,915	-
137	6. Other current assets	4,544,190,875	7,781,062,500
200	B. NON-CURRENT ASSETS	156,289,121,553	467,270,064,831
210	I. Long-term financial assets	36,120,280,000	341,861,291,200
212	1. Long term investments	36,120,280,000	341,861,291,200
212.1	1.1 HTM investments	18,000,000,000	318,000,000,000
212.4	1.2 Other long-term investments	18,120,280,000	23,861,291,200
220	II. Fixed assets	46,359,848,883	56,652,975,515
221	1. Tangibles fixed assets	27,116,553,896	29,807,357,278
222	1.1 Cost	124,590,703,396	123,476,029,440
223a	1.2 Accumulated depreciation	(97,474,149,500)	(93,668,672,162)
227	2. Intangible fixed assets	19,243,294,987	26,845,618,237
228	2.1 Cost	107,055,431,457	114,457,812,245
229a	2.2 Accumulated amortisation	(87,812,136,470)	(87,612,194,008)
240	III. Construction in progress	2,241,960,000	2,490,919,207
250	IV. Other long-term assets	71,567,032,670	66,264,878,909
251	1. Long-term deposits, collaterals and pledges	4,819,364,893	4,984,688,266
252	2. Long-term prepaid expenses	20,233,732,526	14,169,678,436
254	3. Payment for Settlement Assistance Fund	20,000,000,000	20,000,000,000
255	4. Other non-current assets	15,000,000,000	15,000,000,000
256	5. Goodwill	11,513,935,251	12,110,512,207
270	TOTAL ASSETS	42,690,390,894,451	37,094,774,473,895

CONSOLIDATED STATEMENT OF FINANCIAL POSITION (continued)
as at 31 March 2022

Currency: VND

Code	ITEMS	31 March 2022	31 December 2021
300	C. LIABILITIES	32,333,336,939,191	27,272,291,634,249
310	I. Current liabilities	30,583,640,689,051	26,361,375,576,158
311	1. Short-term borrowings and financial leases	23,154,601,951,918	20,448,678,287,066
312	1.1. Short-term borrowings	23,154,601,951,918	20,448,678,287,066
316	2. Short-term bonds issued	700,000,000,000	500,000,000,000
318	3. Payables for securities trading activities	1,078,083,597,635	1,110,406,844,383
320	4. Short-term trade payables	689,313,799	6,151,126,805
321	5. Short-term advances from customers	13,415,848,120	30,293,534,231
322	6. Statutory obligations	234,034,893,630	222,439,403,897
323	7. Payables to employees	56,937,403,402	47,240,893,263
324	8. Employee benefits	6,038,245,030	8,602,348,300
325	9. Short-term accrued expenses	112,073,813,448	98,108,180,904
329	10. Other short-term payables	5,072,172,800,747	3,878,535,023,086
331	11. Bonus and welfare fund	155,592,821,322	10,919,934,223
340	II. Non-current liabilities	1,749,696,250,140	910,916,058,091
346	1. Long-term bonds	1,550,000,000,000	750,000,000,000
356	2. Deferred income tax liabilities	199,696,250,140	160,916,058,091
400	D. OWNERS' EQUITY	10,357,053,955,260	9,822,482,839,646
410	I. Owners' equity	10,357,053,955,260	9,822,482,839,646
411	1. Share capital	7,828,996,498,690	5,852,916,394,577
411.1	1.1. Capital contribution	7,828,994,210,000	4,349,446,870,000
411.1a	a. Ordinary shares	7,828,994,210,000	4,349,446,870,000
411.2	1.2. Share premium	15,608,178	1,503,603,955,187
411.5	1.3. Treasury shares	(13,319,488)	(134,430,610)
414	2. Charter capital supplementary reserve	849,818,470	221,639,395,172
415	3. Operational risk and financial reserve	849,818,470	192,337,668,169
417	4. Undistributed profit	2,526,357,819,630	3,555,589,381,728
417.1	4.1. Realised profit	1,583,329,106,355	2,804,798,749,555
417.2	4.2. Unrealised losses	943,028,713,275	750,790,632,173
440	TOTAL LIABILITIES AND OWNERS' EQUITY	42,690,390,894,451	37,094,774,473,895

CONSOLIDATED STATEMENT OF FINANCIAL POSITION (continued)
as at 31 March 2022

OFF-BALANCE SHEET ITEMS

Currency: VND

Code	ITEMS	31 March 2022	31 December 2021
	A. ASSETS OF THE COMPANY AND ASSETS MANAGED UNDER AGREEMENTS		
004	Bad debts written-off (VND)	101,986,716,060	101,986,716,060
006	Outstanding shares (number of shares)	782,899,174	434,942,782
007	Treasury shares (number of shares)	247	1,905
008	Financial assets listed/registered for trading at Vietnam Securities Depository ("VSD") of the Company (VND)	816,432,070,000	505,738,630,000
009	Non-traded financial assets deposited at VSD of the Company (VND)	145,415,370,000	57,504,330,000
010	Awaiting financial assets of the Company (VND)	1,077,116,021,000	1,038,839,533,000
012	Financial assets which have not been deposited at VSD of the Company (VND)	5,711,591,124,841	4,465,344,767,255
014	Covered warrants (number of covered warrants)	44,113,600	7,127,100
	B. ASSETS AND PAYABLES UNDER AGREEMENT WITH INVESTORS		
021	Financial assets listed/registered for trading at VSD of investors (VND)	49,730,533,156,000	49,111,642,592,000
021.1	Unrestricted financial assets	39,320,607,983,000	37,988,364,268,000
021.2	Restricted financial assets	296,886,690,000	305,662,850,000
021.3	Mortgaged financial assets	8,123,974,650,000	8,638,087,340,000
021.4	Blocked financial assets	188,327,720,000	240,237,200,000
021.5	Financial assets awaiting settlement	1,800,736,113,000	1,939,290,934,000

CONSOLIDATED STATEMENT OF FINANCIAL POSITION (continued)
as at 31 March 2022

OFF-BALANCE SHEET ITEMS (continued)

Currency: VND

Code	ITEMS	31 March 2022	31 December 2021
	B. ASSETS AND PAYABLES UNDER AGREEMENT WITH INVESTORS (continued)		
022	Non-traded financial assets deposited at VSD of investors	8,024,586,660,000	6,694,882,530,000
022.1	<i>Unrestricted and non-traded financial assets deposited at VSD</i>	7,285,500,120,000	6,660,311,330,000
022.2	<i>Restricted and non-traded financial assets deposited at VSD</i>	739,086,540,000	34,571,200,000
023	Awaiting financial assets of investors	5,067,075,691,200	4,947,725,151,100
024.b	Non-traded financial assets deposited at VSD of the investors	6,731,410,000	6,731,410,000
026	Investors' deposits	9,915,512,585,747	9,929,968,911,330
027	Investors' deposits for securities trading activities managed by the Company	8,864,653,779,538	4,951,308,330,880
028	Investor's synthesizing deposits for securities trading activities	878,722,927,120	4,908,172,436,100
029	Clearing deposits and payment of securities transactions	19,334,177,089	3,984,675,350
029.1	<i>Clearing deposits and payment of securities transactions by domestic investors</i>	611,701,219	1,096,686,267
029.2	<i>Clearing deposits and payment of securities transactions by foreign investors</i>	18,722,475,870	2,887,989,083
030	Deposits of securities issuers	152,801,702,000	66,503,469,000
031	Payables to investors - investors' deposits for securities trading activities managed by the Company	9,761,256,873,354	9,861,920,938,023
031.1	<i>Payables to domestic investors for securities trading activities managed by the Company</i>	9,364,504,000,913	9,358,227,609,465
031.2	<i>Payables to foreign investors for securities trading activities managed by the Company</i>	396,752,872,441	503,693,328,558

CONSOLIDATED STATEMENT OF FINANCIAL POSITION (continued)
as at 31 March 2022

OFF-BALANCE SHEET ITEMS (continued)

Currency: VND

Code	ITEMS	31 March 2022	31 December 2021
	B. ASSETS AND PAYABLES UNDER AGREEMENT WITH INVESTORS (continued)		
032	Payables to securities issuers	152,801,702,000	66,503,469,000
035	Dividend, bond principal and interest payables	1,454,010,393	1,544,504,307
036	Investors' escrow deposits at VSD	357,246,926,611	369,895,010,719



Ms. Ngo Thi Linh Trang
Preparer



Ms. Tran Thi Hong Nhung
Chief Accountant



Mr. Nguyen Vu Long
Acting General Director

Hanoi, Vietnam

14 July 2022

CONSOLIDATED INCOME STATEMENT
for the year ended 31 March 2022

Currency: VND

Code	ITEMS	For the three-month period ended 31 March 2022	For the three-month period ended 31 March 2021
	I. OPERATING INCOME		
01	1. Gain from financial assets at fair value through profit and loss (FVTPL)	666,403,560,431	494,622,900,425
01.1	1.1. Gain from disposal of financial assets at FVTPL	215,411,147,127	258,061,271,830
01.2	1.2. Gain from revaluation of financial assets at FVTPL	379,902,096,585	224,896,200,255
01.3	1.3. Dividend, interest income from financial assets at FVTPL	62,010,933,919	417,066,000
01.4	1.4. Gain from revaluation of outstanding covered warrants payables	9,079,382,800	11,248,362,340
02	2. Gain from held-to-maturity (HTM) investments	96,416,133,697	108,779,323,599
03	3. Gain from loans and receivables	464,288,892,477	172,862,274,990
04	4. Gain from available-for-sale (AFS) financial assets	-	75,893,593,182
06	5. Revenue from brokerage services	461,297,281,328	266,934,862,046
07	6. Revenue from underwriting and issuance agency services	71,840,147,414	4,624,353,972
08	7. Revenue from securities investment advisory services	-	5,029,545,454
09	8. Revenue from securities custodian services	5,134,367,157	2,979,419,465
10	9. Revenue from financial consulting activities	1,400,000,000	-
11	10. Revenue from other operating activities	4,417,006,652	3,964,738,982
20	Total operating revenue	1,771,197,389,156	1,135,691,012,115
	II. OPERATING EXPENSES		
21	1. Loss from financial assets at fair value through profit and loss (FVTPL)	253,227,703,114	159,045,303,323
21.1	1.1. Loss from disposal of financial assets at FVTPL	58,073,989,574	129,009,563,433
21.2	1.2. Loss from revaluation of financial assets at FVTPL	173,184,711,940	15,921,822,454
21.3	1.3. Transaction costs of acquisition of financial assets at FVTPL	73,194,400	454,255,136
21.4	1.4. Loss from revaluation of covered warrants payables	21,895,807,200	13,659,662,300
24	2. Provision expense/(reserve) for diminution in value and impairment of financial assets and doubtful debts and borrowing costs of loans	14,519,691,120	7,673,582,614
26	3. Expenses for proprietary trading activities	3,408,577,769	4,277,976,364
27	4. Expenses for brokerage services	233,724,726,037	131,317,119,179
28	5. Expenses for underwriting and issuance agency services	2,085,306,797	2,143,599,533
29	6. Expenses for securities investment consulting	92,710,143	-
30	7. Expenses for securities custodian services	3,739,145,164	4,483,881,803
31	8. Expenses for financial consulting activities	1,040,625,602	4,640,317,871
32	9. Other service fees	95,666,963,006	8,529,648,526
40	Total operating expenses	607,505,448,752	322,111,429,213
	III. FINANCE INCOME		
42	1. Dividend from investment in subsidiaries, associates and interest income from demand deposits	3,797,012,814	2,297,447,513
50	Total finance income	3,797,012,814	2,297,447,513

CONSOLIDATED INCOME STATEMENT (continued)
for the year ended 31 March 2022

Currency: VND

Code	ITEMS	For the three-month period ended 31 March 2022	For the three-month period ended 31 March 2021
	IV. FINANCE EXPENSES		
51	1. Loss from foreign exchange rates differences	(26,564,943,410)	759,464,859
52	2. Borrowing costs	164,435,323,992	83,997,228,388
60	Total finance expenses	137,870,380,582	84,756,693,247
62	V. GENERAL AND ADMINISTRATIVE EXPENSES	74,894,026,170	50,156,790,729
70	VI. OPERATING PROFIT	954,724,546,466	680,963,546,439
	VII. OTHER INCOME AND EXPENSES		
71	Other income	3,495,714	229,124
72	Other expenses	500,808	116,612,134
80	Total other operating loss	2,994,906	(116,383,010)
90	VIII. PROFIT BEFORE TAX	954,727,541,372	680,847,163,429
91	Realised profit	760,826,581,127	474,284,085,588
92	Unrealised profit	193,900,960,245	206,563,077,841
100	IX. CORPORATE INCOME TAX (CIT) EXPENSES	193,981,585,547	137,727,113,776
100.1	Current CIT expense	155,201,393,498	96,414,498,208
100.2	Deferred CIT expense	38,780,192,049	41,312,615,568
200	X. PROFIT AFTER TAX	760,745,955,825	543,120,049,653
300	XI. OTHER COMPREHENSIVE INCOME AFTER TAX	-	-
400	Total comprehensive income	760,745,955,825	543,120,049,653
500	XII. NET INCOME APPROPRIATED TO ORDINARY SHAREHOLDERS	1,531	1,264
501	Basic earnings per share (VND/share)	1,531	1,264

Ms. Ngo Thi Linh Trang
Preparer

Ms. Tran Thi Hong Nhung
Chief Accountant



Mr. Nguyen Vu Long
Acting General Director

Hanoi, Vietnam

14 July 2022

CONSOLIDATED CASH FLOW STATEMENT
for the year ended 31 March 2022

Currency: VND

Code	ITEMS	For the three-month period ended 31 March 2022	For the three-month period ended 31 March 2021
	I. CASH FLOWS FROM OPERATING ACTIVITIES		
01	1. Profit before tax	954,727,541,372	680,847,163,429
02	2. Adjustments for:	154,402,640,244	97,385,112,660
03	Depreciation and amortisation expense	5,789,752,787	5,117,724,702
04	Provisions	14,519,691,120	7,673,582,614
05	Loss from unrealized exchange rate revaluation	(30,938,704,611)	-
06	Interest expenses	164,435,323,992	83,997,228,388
09	Other adjustments	596,576,956	596,576,956
10	3. Increase in non-cash expenses	195,080,519,140	29,581,484,754
11	Loss from revaluation of financial assets at FVTPL and loss from revaluation of covered warrants payables	195,080,519,140	29,581,484,754
18	4. Decrease in non-cash income	(388,981,479,385)	(236,144,562,595)
19	Gain from revaluation of financial assets at FVTPL and gain from revaluation of covered warrants payables	(388,981,479,385)	(236,144,562,595)
30	5. Operating income before changes in working capital	915,229,221,371	571,669,198,248
31	(Increase)/decrease in financial assets at FVTPL	(2,890,627,019,146)	(462,917,208,319)
32	(Increase)/decrease in HTM investments	(2,501,100,000,000)	(257,800,000,000)
33	Increase in loans	(1,648,993,272,669)	(1,830,561,169,393)
34	Increase in AFS financial assets	-	(1,802,349,121,401)
35	(Increase)/decrease in receivables from disposal of financial assets	38,373,297,000	41,373,059,392
36	(Increase)/decrease in receivables from services provided by the Company	(59,523,779,461)	(68,379,021,985)
39	Increase in other receivables	(44,830,622,327)	(35,270,741,122)
40	Increase in other assets	(677,147,883)	6,421,159,524
41	Increase/ (decrease) in payable expenses (excluding interest expenses)	(8,121,785,417)	11,394,801,423
42	(Increase)/decrease in prepaid expenses	(15,395,370,920)	(8,137,611,028)
43	Current income tax paid	(118,657,762,225)	(59,666,394,118)
44	Interest expenses paid	(143,876,859,820)	(86,021,523,220)
45	Increase/(decrease) in payables to suppliers	(22,210,139,117)	(8,961,928,147)
46	Increase in employee benefits	142,191,353,649	755,820,446
47	Increase in statutory obligation	(24,211,832,258)	2,501,062,745
48	Increase in payables to employees	9,912,389,139	4,504,468,144
50	Other increase in payables and payables for covered warrants	1,148,546,527,371	1,434,100,131,559
52	Other cash outflows from operating activities	(224,514,496,766)	-
60	Net cash flows used in operating activities	(5,448,487,299,479)	(2,547,345,017,252)

CONSOLIDATED CASH FLOW STATEMENT (continued)
for the year ended 31 March 2022

Currency: VND


Code	ITEMS	For the three-month period ended 31 March 2022	For the three-month period ended 31 March 2021
	II. CASH FLOWS FROM INVESTING ACTIVITIES		
61	Purchase and construction of fixed assets, investment properties and other long-term assets	(5,694,673,956)	(2,210,697,272)
63	Cash outflows for investments in other entities	-	(360,000,000)
64	Cash inflows from withdrawal of investments in other entities	12,625,646,807	-
70	Net cash flow used in investing activities	6,930,972,851	(2,570,697,272)
	III. CASH FLOWS FROM FINANCING ACTIVITIES		
72	Repayment of capital contributions to owners, repurchase of issued shares	136,719,300	172,603,348,100
73	Drawdown of borrowings	54,420,078,915,372	32,392,911,073,699
73.2	- Other borrowings	54,420,078,915,372	32,392,911,073,699
74	Repayment of borrowings	(50,683,310,250,520)	(30,397,862,073,699)
74.3	- Other repayment of borrowings	(50,683,310,250,520)	(30,397,862,073,699)
80	Net cash flow from financing activities	3,736,905,384,152	2,167,652,348,100
90	NET DECREASE IN CASH DURING THE PERIOD	(1,704,650,942,476)	(382,263,366,424)
101	CASH AND CASH EQUIVALENTS AT THE BEGINNING OF THE PERIOD	2,701,561,356,636	973,526,887,674
101.1	Cash at banks	2,094,329,942,266	887,704,080,066
101.2	Cash equivalents	607,231,414,370	85,822,807,608
103	CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD	996,910,414,160	591,263,521,250
103.1	Cash at banks	981,795,871,810	460,708,745,512
103.2	Cash equivalents	15,114,542,350	130,554,775,738

CONSOLIDATED CASH FLOW STATEMENT (continued)
for the year ended 31 March 2022

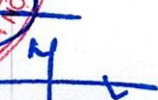
CASH FLOWS FROM BROKERAGE AND TRUST ACTIVITIES OF CUSTOMERS

Currency: VND

Code	ITEMS	For the three-month period ended 31 March 2022	For the three-month period ended 31 March 2021
	I. Cash flows from brokerage and trust activities of customers		
01	1. Cash receipts from disposal of brokerage securities of customers	161,545,576,599,269	91,832,705,518,208
02	2. Cash payments for acquisition of brokerage securities of customers	(161,530,227,097,530)	(90,832,342,261,469)
07	3. Cash receipts for settlement of securities transactions of customers	(116,013,566,408)	12,953,906,267
14	4. Cash receipts from securities issuers	2,721,580,019,928	544,490,080,328
15	5. Cash payments to securities issuers	(2,635,372,280,842)	(544,938,369,096)
20	Net increase in cash during the period	(14,456,325,583)	1,012,868,874,238
30	II. Cash and cash equivalents of customers at the beginning of the period	9,929,968,911,330	5,129,070,691,548
31	Cash at banks at the beginning of the period:	9,929,968,911,330	5,129,070,691,548
32	- Investors' deposits managed by the Company for securities trading activities	4,951,308,330,880	2,925,509,462,557
33	- Investors' synthesizing deposits for securities trading activities	4,908,172,436,100	2,201,299,041,600
34	- Clearing deposits and payment of securities transactions	3,984,675,350	285,380,356
35	- Deposits of securities issuers	66,503,469,000	1,976,807,035
40	III. Cash and cash equivalents of customers at the end of the period (40 = 20 + 30)	9,915,512,585,747	6,141,939,565,786
41	Cash at banks at the end of the period:	9,915,512,585,747	6,141,939,565,786
42	- Investors' deposits managed by the Company for securities trading activities	8,864,653,779,538	2,384,126,041,379
43	- Investors' synthesizing deposits for securities trading activities	878,722,927,120	2,755,636,418,300
44	- Clearing deposits and payment of securities transactions	19,334,177,089	1,000,648,637,095
45	- Deposits of securities issuers	152,801,702,000	1,528,469,012


Ms. Ngo Thi Linh Trang
Preparer


Ms. Tran Thi Hong Nhung
Chief Accountant


Mr. Nguyen Vu Long
Acting General Director



Hanoi, Vietnam

14 July 2022

CONSOLIDATED STATEMENT OF CHANGES IN OWNERS' EQUITY
for the year ended 31 March 2022

ITEMS	Opening balance		Increase/Decrease				Ending balance	
	01 January 2021	01 January 2022	Previous period		Current period		31 March 2021	31 March 2022
			Increase	Decrease	Increase	Decrease		
	VND	VND	VND	VND	VND	VND	VND	VND
A	1	2	3	4	5	6	7	8
I. CHANGES IN OWNERS' EQUITY	3,819,244,557,510	9,822,482,839,646	614,249,844,802	101,473,552,951	4,238,434,052,380	(3,703,862,936,766)	4,534,967,955,263	10,357,053,955,260
1. Share capital	2,124,147,577,527	5,852,916,394,577	71,129,795,149	101,473,552,951	3,479,485,159,300	(1,503,405,055,187)	2,296,750,925,627	7,828,996,498,690
1.1 Ordinary share	2,204,301,690,000	4,349,446,870,000	-	-	3,479,547,340,000	-	2,204,301,690,000	7,828,994,210,000
1.2 Share premium	121,220,121,529	1,503,603,955,187	71,283,032,049	-	-	(1,503,588,347,009)	192,503,153,578	15,608,178
1.3 Treasury shares	(201,374,234,002)	(134,430,610)	(153,236,900)	101,473,552,951	(62,180,700)	183,291,822	(100,053,917,951)	(13,319,488)
2. Charter capital supplementary reserve	136,055,521,001	221,639,395,172	-	-	-	(220,789,576,702)	136,055,521,001	849,818,470
3. Operational risk and financial reserve	106,753,793,998	192,337,668,169	-	-	-	(191,487,849,699)	106,753,793,998	849,818,470
4. Undistributed profit	1,452,287,664,984	3,555,589,381,728	543,120,049,653	-	758,948,893,080	(1,788,180,455,178)	1,995,407,714,637	2,526,357,819,630
1.4 Realised profit	1,378,037,442,204	2,804,798,749,555	242,071,854,763	-	566,710,811,978	(1,788,180,455,178)	1,620,109,296,967	1,583,329,106,355
1.5 Unrealised profit/(loss)	74,250,222,780	750,790,632,173	301,048,194,890	-	192,238,081,102	-	375,298,417,670	943,028,713,275
TOTAL	3,819,244,557,510	9,822,482,839,646	614,249,844,802	101,473,552,951	4,238,434,052,380	(3,703,862,936,766)	4,534,967,955,263	10,357,053,955,260

CONSOLIDATED STATEMENT OF CHANGES IN OWNERS' EQUITY (continued)
for the year ended 31 March 2022

ITEMS	Opening balance		Increase/Decrease				Ending balance	
	01 January 2021	01 January 2022	Previous period		Current period		31 March 2021	31 March 2022
	VND	VND	Increase	Decrease	Increase	Decrease	VND	VND
	1	2	3	4	5	6	7	8
II. OTHER COMPREHENSIVE INCOME								
1. Gain/(loss) from revaluation of AFS financial assets	-	-	-	-	-	-	-	-
TOTAL	3,819,244,557,510	9,822,482,839,646	614,249,844,802	101,473,552,951	4,238,434,052,380	(3,703,862,936,766)	4,534,967,955,263	10,357,053,955,260

Ms. Ngo Thi Linh Trang
Preparer

Hanoi, Vietnam

14 July 2022

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Chief Accountant



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Acting General Director