

CONSOLIDATED BALANCE SHEET

As at 31/03/2021

Currency: VND

	ITEMS	Code	Notes	Quarter-end	Year-beginning
A	CURRENT ASSETS (100=110+130)	100		17,347,112,129,802	13,218,965,736,101
I.	Financial assets	110		17,332,349,291,032	13,204,833,971,010
1	Cash and cash equivalents	111	1	590,663,521,250	973,526,887,674
1.1.	Cash	111.1		460,708,745,512	887,704,080,066
1.2.	Cash equivalents	111.2		129,954,775,738	85,822,807,608
2	Financial assets recognized through profit/loss (FVTPL)	112	3.1	1,670,512,036,175	1,053,698,209,421
3	Held-to-maturity investments (HTM)	113	3.2	3,434,600,000,000	3,266,200,000,000
4	Loans	114	3.4	6,536,514,950,849	4,705,953,781,456
5	Available-for-sale financial assets (AFS)	115	3.3	4,784,280,708,903	2,963,437,667,357
6	Provision for devaluation of financial assets and mortgage assets	116		(79,615,156,009)	(71,941,573,394)
7	Receivables from financial assets	117		301,575,292,222	272,110,342,039
7.1	Receivables from sale of financial assets	117.1		63,897,084,247	103,132,259,392
7.2	Receivables and accrued dividend, interest from financial assets	117.2	5.1	237,678,207,975	168,978,082,647
7.2.2.	Accrued dividend, immature interest	117.4		237,678,207,975	168,978,082,647
8	Prepayment to suppliers	118		68,518,549,209	28,682,411,877
9	Other receivables	122	5.2	30,321,884,862	18,188,741,009
10	Provision for devaluation of receivables	129	6	(5,022,496,429)	(5,022,496,429)
II.	Other short-term assets	130		14,762,838,770	14,131,765,091
1	Advance	131		2,913,934,590	2,026,345,183
2	Short-term prepayment	133	7	8,405,855,742	1,287,241,282
3	Short-term pledges and deposits	134		3,356,746,001	3,356,646,001
4	Value added tax is deductible	135		34,695,376	30,971,290
5	Taxes and other receivables from State budget	136		51,607,061	114,236,335
6	Other short-term assets	137		0	7,316,325,000
B.	NON-CURRENT ASSETS (200 = 210 + 220 + 240 + 250)	200		1,840,858,498,651	1,757,118,576,000
I.	Long-term financial assets	210		1,633,351,011,200	1,542,991,011,200
1	Long-term receivables	211		0	0
2	Investments	212		1,633,351,011,200	1,542,991,011,200
2.1.	Maturity-held investments	212.1	3.2	1,620,000,000,000	1,530,000,000,000
2.2.	Subsidiary company investments	212.2		0	0
2.3.	Joint venture and associates company investments	212.3		0	0
2.4.	Other long-term investments	212.4		13,351,011,200	12,991,011,200
II.	Fixed assets	220		40,131,854,041	44,263,758,743
1	Tangible fixed assets	221	8	30,898,253,311	34,270,071,770
	- Cost	222		113,460,955,260	113,460,955,260
	- Accumulated depreciation	223a		(82,562,701,949)	(79,190,883,490)
	- Tangible fixed assets evaluation under fair value	223b		0	0
2	Intangible fixed assets	227	9	9,233,600,730	9,993,686,973
	- Cost	228		90,539,953,745	89,554,133,745
	- Accumulated depreciation	229a		(81,306,353,015)	(79,560,446,772)
	- Intangible fixed assets evaluation under fair value	229b		0	0
III.	Capital construction in progress	240		8,497,441,717	7,272,564,445
IV.	Other non-current assets	250		158,878,191,693	162,591,241,612
1	Long-term pledges and deposits	251		0	0

	ITEMS	Code	Notes	Quarter-end	Year-beginning
2	Long-term prepayments	252	7	14,345,570,481	13,326,573,913
3	Deferred income tax assets	253		14,351,182,802	18,494,128,402
4	Payment for Settlement Assistance Fund	254	16	20,000,000,000	20,000,000,000
5	Other non-current assets	255		96,248,052,171	96,240,576,102
6	Goodwill	256		13,933,386,239	14,529,963,195
VI.	Provision for devaluation of non-current assets	260		0	0
	TOTAL ASSETS (270 = 100 + 200)	270		19,187,970,628,453	14,976,084,312,101
C.	LIABILITIES (300 = 310 + 340)	300		14,696,261,587,405	11,156,839,754,591
I.	Current liabilities	310		14,653,589,951,847	11,040,183,675,876
1	Short-term borrowings and finance lease liabilities	311	15	11,556,970,000,000	9,458,280,000,000
1.1.	Short-term borrowings	312		11,556,970,000,000	9,458,280,000,000
1.2.	Short-term finance lease liabilities	313		0	0
2	Short-term issued bonds	316		600,000,000,000	600,000,000,000
3	Payables to securities trading activities	318	10	1,246,198,871,084	413,365,510,220
4	Short-term trade payables	320	13	4,045,803,843	3,273,109,337
5	Short-term advances from customers	321		4,640,000	9,257,294,675
6	Taxes and payables to the State budget	322	11	147,884,752,152	94,316,827,771
7	Payables to the employees	323		22,105,637,630	17,601,169,486
8	Payables to employee benefits	324		6,463,603,035	5,880,048,035
9	Short-term accrued expenses	325	12	55,780,650,574	46,410,143,983
10	Short-term unrealized gain	327		1,215,000,000	2,126,250,000
11	Other short-term payables	329	14	1,002,001,059,306	378,753,388,146
12	Bonus and welfare fund	331		10,919,934,223	10,919,934,223
II.	Non-current liabilities	340		42,671,635,558	116,656,078,715
1	Long-term issued bonds	346		0	103,641,000,000
2	Deferred income tax to be paid	356		42,671,635,558	13,015,078,715
D.	OWNERS' EQUITY (400 = 410 + 420)	400		4,491,709,041,048	3,819,244,557,510
I.	Owner's equity	410		4,491,709,041,048	3,819,244,557,510
1	Shareholder's equity	411		2,296,750,925,627	2,124,147,577,527
1.1.	Contributed capital	411.1		2,204,301,690,000	2,204,301,690,000
a.	Voting ordinary shares	411.1a		2,204,301,690,000	2,204,301,690,000
1.2	Capital surplus	411.2		192,503,153,578	121,220,121,529
1.3	Treasury shares	411.5		(100,053,917,951)	(201,374,234,002)
2	Additional chartered capital reserve fund	414		136,055,521,001	136,055,521,001
3	Financial and operational risk reserve fund	415		106,753,793,998	106,753,793,998
4	Undistributed earnings	417	17	1,952,148,800,422	1,452,287,664,984
4.1.	Realized earnings	417.1		1,741,718,841,466	1,378,037,442,204
4.2.	Unrealized earnings	417.2		210,429,958,956	74,250,222,780
II.	Other funds	420		0	0
	TOTAL LIABILITIES AND OWNERS' EQUITY (440 = 200 + 300)	440		19,187,970,628,453	14,976,084,312,101
A	ASSETS OF SECURITIES COMPANY AND ASSETS MANAGED BY UNDERTAKINGS				
4	Written off bad debts	004		101,986,716,060	101,986,716,060
6	Outstanding shares	006		214,514,832	208,520,766
7	Treasury stocks	007		5,915,337	11,909,403
8	Financial assets listed/registered with Vietnam Securities Depository	008		534,902,890,000	432,801,122,000
9	Financial assets depository at Vietnam Securities Depository, without transaction yet	009		15,807,950,000	174,310,000
10	Waiting financial assets	010		17,587,766,000	10,282,980,200
12	Financial assets not deposited at Vietnam Securities Depository	012		1,830,207,660,000	785,027,400,000
13	Financial assets entitled rights of security company	013		0	0
14	Warrants	014		23,907,450	12,203,350
15	Receivables from investor in trust				
16	Payables to investor in trust				
B.	ASSETS AND PAYABLES TO MANAGEMENT ASSETS UNDERTAKEN WITH CUSTOMERS				

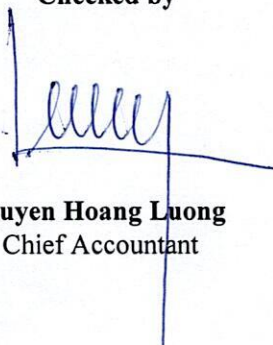
	ITEMS	Code	Notes	Quarter-end	Year-beginning
1	Financial assets listed/registered with Vietnam Securities Depository of Investor	021		41,838,628,938,600	38,287,130,757,900
a.	<i>Freely transferred financial assets</i>	021.1		32,280,605,879,600	29,169,649,431,800
b.	<i>Limited transferred financial assets</i>	021.2		0	0
c.	<i>Financial assets under pledge</i>	021.3		7,244,206,060,000	7,574,509,790,000
d.	<i>Financial assets under blockage, hold in custody</i>	021.4		275,237,200,000	275,744,000,000
e.	<i>Financial assets waiting for payment</i>	021.5		2,038,579,799,000	1,267,227,536,100
f.	<i>Financial assets waiting for loan</i>	021.6		0	0
g.	<i>Assets deposited by Investor</i>	021.7		0	0
2	Financial assets depository at Vietnam Securities Depository, without transaction yet by Investor	022		402,460,500,000	479,383,940,000
a.	<i>Financial assets depository at Vietnam Securities Depository, without transaction yet, freely transferred</i>	022.1		395,185,600,000	470,493,200,000
b.	<i>Financial assets depository at Vietnam Securities Depository, without transaction yet, limited to transfer</i>	022.2		7,274,900,000	8,890,740,000
c.	<i>Financial assets depository at Vietnam Securities Depository, without transaction and pledge yet</i>	022.3		0	0
3	Waiting financial assets of Investor	023		2,927,852,304,862	2,239,432,423,600
7	Deposit	026		6,141,939,565,786	5,129,070,691,548
7.1.	Investor's deposit on securities trading under the method managed by securities company	027		2,384,595,680,391	2,926,707,059,592
7.2.	Deposit for customers, securities trading	028		2,755,636,418,300	2,201,299,041,600
7.3.	Deposit for set-off and payment of securities trading	029		1,000,648,637,095	285,380,356
a.	<i>Deposit for set-off and payment of securities trading of domestic Investor</i>	029.1		1,000,017,750,264	150,878,470
b.	<i>Deposit for set-off and payment of securities trading of foreign Investor</i>	029.2		630,886,831	134,501,886
7.4.	Deposit of Securities Issuer	030		1,058,830,000	779,210,000
8	Payables to Investor for deposit of securities trading under method managed by securities company	031		8,430,233,952,601	5,126,892,901,913
8.1.	Payables to domestic Investor for deposit of securities trading under method managed by securities company	031.1		8,194,612,034,992	4,968,031,666,208
8.2.	Payables to foreign Investor for deposit of securities trading under method managed by securities company	031.2		235,621,917,609	158,861,235,705
9	Payables to Securities Issuer	032		1,058,830,000	779,210,000
12	Dividend, principal and interest payables	035		469,639,012	1,197,597,035
13.	Receivables from investor in trust in VSD	036		192,590,954,328	150,166,621,638

Prepared by



Ta Thi Kim Chung
General Accountant

Checked by



Nguyen Hoang Luong
Chief Accountant

Approved by



Đo Ngọc Quỳnh
Acting Chief Executive Officer

CONSOLIDATED COMPREHENSIVE INCOME STATEMENT

QUARTER 1/2021

Currency: VND

	Items	Code	Notes	Quarter 1		Accumulative amount from year-beginning to the quarter-end	
				Current year	Previous year	Current year	Previous year
I.	OPERATION REVENUE						
1,1,	Interest from financial assets at fair value through profit/ loss (FVTPL)	01		446,903,481,873	112,699,410,946	446,903,481,873	112,699,410,946
a,	Interest from sale of financial assets at fair value through profit/ loss	01.1		258,061,271,830	121,996,865,833	258,061,271,830	121,996,865,833
b,	Increase balance of re-evaluation of financial assets at fair value through profit/ loss	01.2		185,161,796,763	(12,163,507,629)	185,161,796,763	(12,163,507,629)
c,	Dividend, interest arising out from financial assets at fair value through profit/ loss	01.3		417,066,000	1,534,969,502	417,066,000	1,534,969,502
d,	Decrease balance of re-evaluation of payables of outstanding warrants	01.4		3,263,347,280	1,331,083,240	3,263,347,280	1,331,083,240
1,2,	Profit from held-to-maturity investments	02		108,779,296,202	105,941,161,289	108,779,296,202	105,941,161,289
1,3,	Profit from borrowings and receivables	03		172,862,274,990	95,275,554,468	172,862,274,990	95,275,554,468
1,4,	Profit from financial assets available for sale (AFS)	04		75,893,593,182	44,771,299,860	75,893,593,182	44,771,299,860
1,5,	Interest from derivatives of risk prevention	05		0	0	0	0
1,6	Turnover from brokerage service	06		268,176,971,370	79,843,022,120	268,176,971,370	79,843,022,120
1,7,	Secured turnover, securities agency	07		5,106,649,576	161,760,386	5,106,649,576	161,760,386
1,8,	Turnover from securities investment and consultancy	08		3,729,644,179	413,518,681	3,729,644,179	413,518,681
1,9,	Turnover from securities depository operation	09		2,982,685,026	3,596,811,775	2,982,685,026	3,596,811,775
1,10	Turnover from financial consultancy	10		0	0	0	0
1,11,	Turnover from other operation	11		3,836,313,103	2,474,915,396	3,836,313,103	2,474,915,396
	Total operation revenue (20 = 01+ 02+ 03 + 04 + 06 + 07 + 08 + 09 + 11)	20		1,088,270,909,501	445,177,454,921	1,088,270,909,501	445,177,454,921
II.	OPERATION EXPENSES						
2,1,	Loss of financial assets at fair value through profit/ loss (FVTPL)	21		147,579,597,722	151,351,290,806	147,579,597,722	151,351,290,806
a,	Loss from sale of FVTPL financial assets	21.1	9,2(a)	129,009,563,433	83,034,305,155	129,009,563,433	83,034,305,155
b,	Decrease balance of re-evaluation of financial assets at fair value through profit/ loss	21.2		12,771,258,183	67,866,182,432	12,771,258,183	67,866,182,432
c,	Expenses for purchase of financial assets at fair value through profit/ loss	21.3		124,128,866	450,803,219	124,128,866	450,803,219

	Items	Code	Notes	Quarter 1		Accumulative amount from year-beginning to the quarter-end	
				Current year	Previous year	Current year	Previous year
d,	Increase balance of re-evaluation of payables of outstanding warrants	21.4		5,674,647,240	0	5,674,647,240	0
2,2,	Loss from held-to-maturity (HTM) investments	22		0	0	0	0
2,3,	Loss and valuation difference basing on logic value of financial assets available for sale (AFS) when classification	23		0	2,676,648,135	0	2,676,648,135
2,4,	Financial assets provision/refund, loss settlement of bad receivables and loss of value of depreciation of financial assets	24		7,673,582,614	(5,309,232,755)	7,673,582,614	(5,309,232,755)
2,5,	Loss from derivatives in risk prevention	25		0	0	0	0
2,6,	Expenses of free-business operation	26		0	0	0	0
2,7,	Expenses for brokerage service	27		100,892,973,002	33,289,863,643	100,892,973,002	33,289,863,643
2,8,	Expenses for secured operation, securities agency	28		0	0	0	0
2,9,	Expenses for securities investment and consultancy	29		0	0	0	0
2,10,	Expenses for securities depository	30		4,483,881,803	4,100,244,935	4,483,881,803	4,100,244,935
2,11,	Expenses for financial consultancy	31		0	0	0	0
2,12,	Expenses for other services	32		4,311,398,070	0	4,311,398,070	0
	Of which: Expenses for correction of security transaction errors, free-business error	33					
	Total operation expenses (40 = 21+24+27+29+30)	40		264,941,433,211	186,108,814,764	264,941,433,211	186,108,814,764
III,	REVENUES FROM FINANCIAL ACTIVITIES						
3,1,	Difference of earnings from realized and unrealized foreign exchange	41		0	0	0	0
3,2,	Revenues, estimated revenues from dividends, non-fixed deposit interest	42		2,300,044,555	1,667,806,538	2,300,044,555	1,667,806,538
3,3,	Earnings from sale, liquidation of investments in subsidiaries, affiliates, joint ventures	43		0	0	0	0
3,4,	Other revenues from investments	44		0	0	0	0
	Total revenues from financial activities (50 = 42)	50		2,300,044,555	1,667,806,538	2,300,044,555	1,667,806,538
IV,	FINANCIAL EXPENSES						
4,1,	Difference of loss from realized and unrealized foreign exchange	51		759,464,859	0	759,464,859	0
4,2,	Interest expenses	52		88,715,034,229	109,159,331,087	88,715,034,229	109,159,331,087
4,3,	Loss from sale, liquidation of investments in subsidiaries, affiliates, joint ventures	53		0	0	0	0

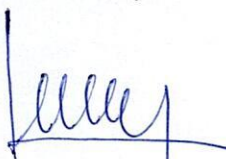
	Items	Code	Notes	Quarter 1		Accumulative amount from year-beginning to the quarter-end	
				Current year	Previous year	Current year	Previous year
4,4,	Provision for long-term investment devaluation	54		0	0	0	0
4,5,	Other investment expenses	55		0	0	0	0
5,	Interest in affiliate	56					
	Total financial expenses (60=52+56)	60		89,474,499,088	109,159,331,087	89,474,499,088	109,159,331,087
V,	SALES EXPENSES	61		245,878,347	31,404,540	245,878,347	31,404,540
VI,	MANAGEMENT COST OF SECURITIES COMPANY	62		91,461,017,900	79,026,547,972	91,461,017,900	79,026,547,972
VII,	OPERATING RESULTS (70=20+50-40-60-61-62)	70		644,448,125,510	72,519,163,096	644,448,125,510	72,519,163,096
VIII,	OTHER INCOME AND OTHER EXPENSES						
8,1	Other income	71		229,124	6,824,595	229,124	6,824,595
8,2	Other expenses	72		116,612,134	679,161,108	116,612,134	679,161,108
	Total other operating results (80 = 71-72)	80		(116,383,010)	(672,336,513)	(116,383,010)	(672,336,513)
IX,	TOTAL ACCOUNTING PROFIT BEFORE TAX (90=70+80)	90		644,331,742,500	71,846,826,583	644,331,742,500	71,846,826,583
9,1	Realized profit	91		474,352,503,880	67,409,596,643	474,352,503,880	67,409,596,643
9,2	Unrealized profit	92		169,979,238,620	4,437,229,940	169,979,238,620	4,437,229,940
X	CORPORATE INCOME TAX EXPENSE	100		144,470,607,061	13,697,137,444	144,470,607,061	13,697,137,444
10,1	Current corporate income tax expense	100.1		110,671,104,618	12,702,543,122	110,671,104,618	12,702,543,122
10,2	Deferred corporate income tax expense	100.2		33,799,502,443	994,594,322	33,799,502,443	994,594,322
XI,	ACCOUNTING PROFIT AFTER CORPORATE INCOME TAX (200=90-100)	200		499,861,135,439	58,149,689,139	499,861,135,439	58,149,689,139
	TOTAL COMPREHENSIVE INCOME	400		499,861,135,439	58,149,689,139	499,861,135,439	58,149,689,139
	Comprehensive income allocated to owner	401		499,861,135,439	58,149,689,139	499,861,135,439	58,149,689,139
	Comprehensive income allocated to others (if any)	402		0	0	0	0
XIII,	NET EARNINGS PER ORDINARY SHARE	500		499,861,135,439	58,149,689,139	499,861,135,439	58,149,689,139
13,1	Basic earnings per share (VND/share)	501		2,380	279	2,380	279
13,2	Diluted earnings per share (VND/share)	502		0	0	0	0

Prepared by



Ta Thi Kim Chung
General Accountant

Checked by



Nguyen Hoang Luong
Chief Accountant

Approved by



Đỗ Ngọc Quỳnh
Acting Chief Executive Officer

CONSOLIDATED CASH FLOW STATEMENT

(Under indirect method)

QUARTER 1/2021

Currency: Vietnam Dong

	ITEM	Code	Note	This period	Previous period
I,	CASH FLOWS FROM OPERATING ACTIVITIES				
1,	Profit before corporate income tax	01		644,331,742,500	71,846,826,583
2,	Adjustments	02		102,102,918,502	189,614,451,308
	- Depreciation of fixed assets	03		5,117,724,702	5,082,314,726
	- Provisions	04		7,673,582,615	74,769,599,906
	- Unrealised loss from exchange rate difference	05		0	0
	- Interest expense	06		88,715,034,229	109,159,331,087
	- Other adjustments	09		596,576,956	603,205,589
3,	Increase in non-monetary expenses	10		18,445,905,423	0
	- Loss from re-valuation of value of financial assets at fair value through profit/loss (FVTPL)	11		18,445,905,423	0
4,	Decrease in non-monetary revenue	18		(188,425,144,043)	10,832,424,389
	- Profit from re-valuation of value of financial assets at fair value through profit/loss (FVTPL)	19		(188,425,144,043)	0
	- Gain through revaluation according to fair value of available-for-sale assets (AFS) after reclassification	20		0	10,832,424,389
5,	Operating profit before changes of working capital	30		(3,124,400,439,634)	(587,669,228,417)
	- Increase in financial assets at fair value through profit/loss	31		(446,834,588,134)	142,960,985,296
	- Increase in held-to-maturity investments	32		(258,400,000,000)	(827,086,733,661)
	- Decrease/(increase) in loans	33		(1,830,561,169,393)	693,135,589,932
	- Decrease/(increase) in available-for-sale (AFS) financial assets	34		(1,820,843,041,546)	(92,142,171,139)
	- Increase/(decrease) in receivables from the sale of financial assets	35		39,235,175,145	23,498,588,176
	- (Increase) in receivables and dividend accrual, other interest on financial assets	36		(68,700,125,328)	(17,438,563,408)
	- Decrease in receivables from services provided by securities company	37		0	0
	- Decrease in other receivables	39		(51,910,375,997)	(2,729,342,545)
	- (Increase)/decrease in other assets	40		6,421,159,524	(357,131,246)
	- Increase in payable expenses (excluding interest expense)	41		6,675,888,330	3,141,983,547
	- Decrease in prepaid expenses	42		(8,137,611,028)	(6,601,285,379)
	- Paid corporate income tax	43		(59,666,394,118)	(41,625,493,356)
	- Paid interest	44		(86,020,415,968)	(120,851,575,478)
	- (Decrease) in payables to suppliers	45		833,606,055,370	(346,314,912,089)
	- Increase in deduction for employee benefits	46		583,555,000	(107,443,500)
	- Increase in taxes and payables to state budget (Excluding paid corporate income tax)	47		2,563,213,880	833,950,193
	- Decrease/(increase) in payables to employees	48		4,504,468,144	1,146,174,596
	- Increase/(decrease) in payables for transaction mistakes of financial assets	49		0	0
	- Decrease/(increase) in other payables	50		613,083,766,485	2,868,151,644
	- Other proceeds from operating	51		0	0
	Net cash flow from operating activities	60		(2,547,945,017,252)	(315,375,526,137)

	ITEM	Code	Note	This period	Previous period
II,	CASH FLOWS FROM INVESTING ACTIVITIES				
1,	Expenses for purchase and construction of fixed assets, real estate investment and other property	61		(2,210,697,272)	(2,924,480,288)
2	Proceeds from the liquidation, sale of fixed assets, investment properties and other assets	62		0	0
3	Payments for investments in subsidiaries, joint ventures, associates and other investments	63		(360,000,000)	0
4	Proceeds from investments in subsidiaries, joint ventures, associates and other investments	64		0	0
5	Loan interests, dividends and distributed profits from long-term financial investments	65		0	0
	Net cash flow from investing activities	70		(2,570,697,272)	(2,924,480,288)
III,	CASH FLOWS FROM FINANCIAL ACTIVITIES				
1,	Proceeds from equity issued or capital contributed by shareholders,	71		0	0
2,	Cash paid for capital withdrawals and buy-back of issued shares	72		172,603,348,100	(53,400)
3,	Proceeds from borrowings	73		32,392,911,073,699	26,870,398,085,452
3,1,	<i>Borrowing from payment support fund</i>	73.1		0	0
3,2,	<i>Other borrowings</i>	73.2		32,392,911,073,699	26,870,398,085,452
4,	Payments to settle loan principals	74		(30,397,862,073,699)	(26,797,315,621,888)
4,3,	<i>Other repayments for principal</i>	74.3		(30,397,862,073,699)	(26,797,315,621,888)
5	Repayment for lease principal	75		0	0
6,	Dividends, profits paid to shareholders	76		0	0
	Net cash flows from financing activities	80		2,167,652,348,100	73,082,410,164
IV,	Net cash flows during the period	90		(382,863,366,424)	(245,217,596,261)
V,	Cash and cash equivalents at the beginning of the period	101		973,526,887,674	613,548,205,346
	- Cash	102.1		887,704,080,066	611,548,205,346
	- Cash equivalents	102.2		85,822,807,608	2,000,000,000
	- Effects of fluctuations in foreign exchange rates	102.3		0	0
VI,	Cash and cash equivalents at the end of the period	103		590,663,521,250	368,330,609,085
	- Cash	104.1		460,708,745,512	346,330,609,085
	- Cash equivalents	104.2		129,954,775,738	22,000,000,000
	- Effects of fluctuations in foreign exchange rates	104.4		0	0
CASH FLOWS OF CUSTOMERS FROM BROKERAGE AND ENTRUSTMENT ACTIVITIES					
	ITEM	Code		Thisperiod	Previousperiod
I	Cash flows of customers from brokerage and entrustment activities				
1,	Proceeds from sale of brokerage securities for customers	01		91,832,705,518,208	20,728,043,217,547
2,	Expense for purchase of brokerage securities for customers	02		(90,832,342,261,469)	(20,727,650,765,270)
7,	Receive deposit to pay for securities trading of customers	07		12,953,906,267	988,311,086,431
12,	Proceeds of securities issuer	12		544,490,080,328	413,278,764,158
13,	Expenses of securities issuer	13		(544,938,369,096)	(411,355,446,621)
	Net increase/decrease in cash during the period	20		1,012,868,874,238	990,626,856,245
II,	Cash and cash equivalents at the period beginning of customers	30		5,129,070,691,548	1,559,519,771,197
	Cash at bank at the period beginning:	31		5,129,070,691,548	1,559,519,771,197
	- Investors' deposits on securities trading by the way managed by the securities company	32		2,925,509,462,557	1,178,798,702,531
	- Deposit summarizing securities trading for customers			2,201,299,041,600	378,912,315,900
	- Deposit clearing payment for securities trading	35		285,380,356	265,353,239
	- Deposit of issuer	36		1,976,807,035	1,543,399,527
III,	Cash and cash equivalents at the period ending of customers (40=20+30)	40		6,141,939,565,786	2,550,146,627,442
	Cash at bank at the period ending:	41		6,141,939,565,786	2,550,146,627,442

	ITEM	Code	Note	This period	Previous period
	- Investors' deposits on securities trading by the way managed by the securities company	42		2,384,126,041,379	1,959,901,228,526
	- Deposit summarizing securities trading for customers	44		2,755,636,418,300	586,638,983,400
	Amount off-set and paid for security transactions	45		1,000,648,637,095	657,805,516
	- Deposit of issuer	46		1,528,469,012	2,948,610,000

Prepared by



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Checked by



Nguyen Hoang Luong
Chief Accountant

Approved by



Đo Ngọc Quỳnh
Acting Chief Executive Officer