

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF
VIETNAM

No.: 563/QD-UBCK

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, September 15, 2026

DECISION

On amending the Decision approving the establishment of a securities company branch

THE CHAIRPERSON OF
THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No. 686/QD-BTC dated February 28, 2025 of the Minister of Finance defining the functions, tasks, powers and organizational structure of the State Securities Commission;

Pursuant to the License for securities business operation No. 22/UBCK-GPHDKD dated November 16, 2006 issued by the Chairperson of the State Securities Commission to VNDIRECT Securities Corporation;

Pursuant to Decision No. 455/QD-UBCK dated July 30, 2013 issued by the Chairperson of the State Securities Commission approving VNDIRECT Securities Corporation to establish VNDIRECT Securities Corporation - Quang Ninh Branch;

Pursuant to the document submitted by VNDIRECT Securities Corporation requesting the update of information on the location of the Quang Ninh Branch due to changes in administrative boundaries;

At the proposal of the Head of the Department of Securities Business Regulation.

DECIDES:

Article 1. To amend Decision No. 455/QD-UBCK dated July 30, 2013 issued by the Chairperson of the State Securities Commission approving VNDIRECT Securities Corporation to establish VNDIRECT Securities Corporation - Quang Ninh Branch, with the following content:

Branch address: Floors 1, 2 and 3, No. 10, Lot LK1, Viet Han High-end Apartment and Residential Complex, Hong Gai Ward, Quang Ninh City.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of the License for securities business operation No. 22/UBCK-GPHDKD dated November 16, 2006 issued by the Chairperson of the State Securities

Commission to VNDIRECT Securities Corporation, and Decision No. 455/QĐ-UBCK dated July 30, 2013 issued by the Chairperson of the State Securities Commission approving VNDIRECT Securities Corporation to establish VNDIRECT Securities Corporation - Quang Ninh Branch.

Article 3. The Chief of Office, the Head of the Department of Securities Business Regulation, the General Director of the Vietnam Exchange, the General Director of the Hochiminh Stock Exchange, the General Director of the Hanoi Stock Exchange, the General Director of the Viet Nam Securities Depository and Clearing Corporation, VNDIRECT Securities Corporation and relevant parties shall be responsible for the implementation of this Decision.

Recipients:

- As stated in Article 3;
- Chairperson (for report);
- Archived: Office, Department of Securities Business Regulation (10 copies).

**FOR THE CHAIRPERSON
VICE CHAIRPERSON**

(Signed and Sealed)

LE THI VIET NGÀ

