



DECISION

On amending the Decision approving the establishment of a securities company branch

THE CHAIRPERSON OF

THE STATE SECURITIES COMMISSION OF VIETNAM

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance defining the functions, tasks, powers and organizational structure of the State Securities Commission;

Pursuant to the License for securities business operation No. 22/UBCK-GPHĐKD dated November 16, 2006 issued by the Chairperson of the State Securities Commission to VNDIRECT Securities Corporation;

Pursuant to Decision No. 1049/QĐ-UBCK dated December 14, 2010 approving the establishment of the Da Nang Branch of VNDIRECT Securities Corporation;

Pursuant to the application dossier for change of branch address of VNDIRECT Securities Corporation;

At the proposal of the Head of the Business Management Department.

DECIDES:

Article 1. Amendment to Decision No. 1049/QĐ-UBCK dated December 14, 2010 approving the establishment of the Da Nang Branch of VNDIRECT Securities Joint Stock Company with the following content:

– Branch address: Floor 5, Building No. 271 Nguyen Van Linh Street, Thanh Khe Ward, Da Nang City.

Article 2. This Decision shall take effect from the date of signing and shall constitute an integral part of the License for Establishment and Operation of Securities Business No. 22/UBCK-GPHĐKD dated November 16, 2006 issued by the Chairman of the State Securities Commission of Vietnam to VNDIRECT Securities Corporation, and the Decision approving the establishment of the securities company's branch No. 1049/QĐ-UBCK dated December 14, 2010 issued by the Chairperson of the State Securities Commission of Vietnam to establish VNDIRECT Securities Corporation – Da Nang Branch.

Article 3. The Chief of Office, the Head of the Business Management Department, the General Director of the Vietnam Stock Exchange, the General Director of Ho Chi Minh City Stock Exchange, the General Director of Hanoi Stock Exchange, the General Director of the



Vietnam Securities Depository and Clearing Corporation, VNDIRECT Securities Corporation and relevant parties shall be responsible for the implementation of this Decision.

**FOR THE CHAIRPERSON
VICE CHAIRPERSON**

(Signed)

Recipients:

- As stated in Article 3;
- Chairperson (for report);
- Archived: Office, Business Management Department.

Le Thi Viet Nga

