

No: 1047/2023/CBTT

*Hanoi, November 20<sup>th</sup>, 2023*

**NOTIFICATION OF THE AMENDMENT IN LICENSE FOR  
ESTABLISHMENT AND OPERATION**

**To: Vietnam Stock Exchange  
Hanoi Stock Exchange  
Hochiminh Stock Exchange**

Pursuant to the Amended License No. 93/GPDC-UBCK dated November 20th, 2023 issued by the State Securities Commission of Vietnam. We are pleased to announce the amendment in License for establishment and operation of VNDIRECT Securities Corporation as follows:

- Name of organization: VNDIRECT SECURITIES CORPORATION
- Stock code: VND
- Address: No. 01, Nguyen Thuong Hien street, Nguyen Du ward, Hai Ba Trung district, Hanoi
- Tel: 024.39724568      Fax: 024.39724600
- Email: Cbtt@vndirect.com.vn
- Website: vndirect.com.vn

**1. Information before amendment:**

Legal representatives of the Company before amendment including:

- |                              |  |
|------------------------------|--|
| - Full name: Nguyen Vu Long  | Position: Chairman of the Board of Directors |
| - Full name: Pham Minh Huong | Position: Chief Executive Officer            |
| - Full name: Vu Nam Huong    | Position: Chief Finance Officer              |
| - Full name: Dieu Ngoc Tuan  | Position: Chief Governance Officer           |

**2. Information after amendment:**

Legal representatives of the Company after amendment including:

- |                              |  |
|------------------------------|--|
| - Full name: Pham Minh Huong | Position: Chairwoman of the Board of Directors |
| - Full name: Nguyen Vu Long  | Position: Chief Executive Officer              |
| - Full name: Vu Nam Huong    | Position: Chief Finance Officer                |
| - Full name: Dieu Ngoc Tuan  | Position: Chief Governance Officer             |

**3. Reason for amendment: Change according to the business needs of the Company;**

**4. Effective start date: November 20th, 2023**

5. This information was published on the company's website on November 20th, 2023 as in the link:  
[https://www.vndirect.com.vn/en/danh\\_muc\\_quan\\_he\\_co\\_dong/information-disclosure/](https://www.vndirect.com.vn/en/danh_muc_quan_he_co_dong/information-disclosure/)

***Attached document:***

*Amended License No. 93/GPDC-  
UBCK*

**Person authorized to disclose information**

**Chief Governance Officer**

(signed)

**Dieu Ngoc Tuan**