

DECISION
On listing registration change

GENERAL DIRECTOR OF HOCHIMINH STOCK EXCHANGE

Pursuant to Securities Law dated November 26, 2019;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Securities Law dated November 26, 2019;

Pursuant to Decision No. 02/QD-HDTV dated June 30, 2021 of the Board of Members of Vietnam Stock Exchange on establishing Hochiminh Stock Exchange;

Pursuant to Decision No. 07/QD-HDTV dated July 09, 2021 of the Board of Members of Vietnam Stock Exchange on issuing the Charter on organization and operation of Hochiminh Stock Exchange;

Pursuant to Decision No. 17/QD-HDTV dated March 31, 2021 of the Board of Members of Vietnam Stock Exchange on issuing the Regulations on listing and trading of listed securities;

Pursuant to Dossier of request for change of listing registration of VNDIRECT Securities Corporation;

Pursuant to request of Director of the Listing Management and Appraisal Department.

DECIDES

Article 1. Approve **VNDIRECT Securities Corporation** to change its listing registration with the following:

- Securities type: Common share
- Securities code: **VND**
- Face value: VND 10,000/ share
- Number of securities before listing change: **434,944,687 shares** (*Four hundred thirty four million, nine hundred forty four thousand, six hundred eighty seven shares*)
- Number of listing securities changed: **782,899,322 shares** (*Seven hundred eighty two million, eight hundred ninety nine thousand, three hundred twenty two shares*)
- Value of listing securities changed (according to face value): **VND 7,828,993,220,000** (*Seven trillion, eight hundred twenty eight billion, nine hundred ninety three million, two hundred twenty thousand dong*)
- Number of securities after listing change: **1,217,844,009 shares** (*One billion, two hundred seventeen million, eight hundred forty four thousand and nine shares*)

- Value of securities after listing change (according to face value): **VND 12,178,440,090,000**
(Twelve trillion, one hundred seventy eight billion, four hundred forty million and ninety thousand dong)
- Effective date of listing change: **May 19, 2022**
- Reason for changing the listing registration: issue to existing shareholders to increase share capital from equity sources and offer shares to existing shareholders.

Article 2. This Decision takes effect from the date of signing.

Article 3. Director of the Member Management Department, Director of the Market Information Department, Director of the Listing Management and Appraisal Department, VNDIRECT Securities Corporation are responsible for implementing this Decision.

Recipients:

- As Article 3;
- VSD;
- Admin, NY(06)

**SIGN ON BEHALF OF THE GENERAL DIRECTOR
DEPUTY GENERAL DIRECTOR**

(signed)

Tran Anh Dao